## STABILIZING CHINA-U.S. NUCLEAR DYNAMICS

POLITICAL AND SECURITY AFFAIRS

A brief for the U.S.-China Relations in Strategic Domains Project by Wu Rigiang

aintaining stable nuclear relations between China and the United States is critical to both sides as well as the rest of the world. Although current nuclear dynamics are considered stable, there are serious risks in this domain that require cooperation from the leaders of both countries. China's paramount interest is to maintain a safe, secure, and reliable second-strike nuclear capability that can survive an adversary's disarming strike and penetrate enemy defenses. This is the foundation of China's nuclear deterrence strategy.

## CHINA'S CHALLENGES IN THE NUCLEAR DOMAIN

U.S. missile defense systems are the biggest challenge facing China's nuclear deterrent for three reasons. First, the United States argues that its homeland missile defense system is directed against North Korea, not China. But a U.S. missile defense system arrayed against North Korea could undermine China's own capabilities due to proximity. Given China's small intercontinental-range nuclear arsenal, even a small-scale U.S. missile defense system has the potential to neutralize China's nuclear deterrent.

Second, despite some arguments that the current U.S. missile defense architecture is not very effective due to the difficulty of distinguishing real warheads from decoys, China is concerned with the unpredictable future of missile defense. The United States does not accept limits on missile defense on the basis of a perceived need to maintain its defensive capabilities. Despite Washington's frequent expressions of willingness to discuss this issue with Beijing, this point remains an area of divergence.

Third, the expansion of U.S. missile defense, in both scale and effectiveness, is also a concern. This growth has been evident in quantitative developments, such as an increase in groundbased interceptors from 30 to 44, and qualitative improvements in terms of effectiveness, such deployment of X-band radar in Japan and possibly South Korea as well as the construction of a new land-based radar in Alaska. Additionally, the United States is developing a new "kill vehicle" capable of transmitting photographs in support of "shoot, look, shoot" capabilities.

Similarly, the expansion of U.S. theater missile defense in East Asia, specifically the potential deployment of the Terminal High-Altitude Area Defense (THAAD) system in South Korea, has been the focus of the missile defense debate between China and the United States. It is THAAD radar (TPY-2), rather than the interceptors, that concerns China. Besides serving as the fire control radar of the THAAD system, this radar could also be deployed in forward-based mode, tracking Chinese strategic missiles during the boost phase of flight and the deployment process of decoys and actual warheads. Therefore, the system could easily discriminate real warheads from decoys, as decoys are much lighter and the releasing of decoys causes smaller velocity changes of the offensive missile. If deployed, THAAD radar would pose a major threat to Chinese strategic missiles.

Another challenge faced by China in this domain is responding to and managing the risks of escalation from conventional weapons use to nuclear use in the event of a conflict with another nuclear power. For example, China and

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the United States might engage in a conventional conflict over Taiwan, the South China Sea, or the East China Sea, all of which would carry serious escalatory risks. Such conflicts could lead to different forms of nuclear escalation. First, in a conventional conflict, the United States might deliberately attack Chinese nuclear weapons with conventional munitions to neutralize China's nuclear deterrent gradually and challenge China's "no first use" policy. Second, the United States might use low-yield and high-accuracy nuclear weapons to attack China in order to signal resolve and blackmail the country. Finally, even if neither side desires a nuclear conflict, because of the difficulties in discriminating between Chinese conventional and nuclear assets, the United States might inadvertently attack nuclear weapons. Such attacks would likely be interpreted by China as disarming strikes and put high pressure on China to respond.

## RECOMMENDATIONS FOR CHINA AND THE UNITED STATES IN THE NUCLEAR DOMAIN

To maintain strategic stability, China and the United States should reach a common understanding on strategic offensive and defensive capabilities. For example, the United States could limit its missile defense—enough to counter North Korea's unsophisticated missiles without threatening China's more advanced strategic missiles. In return, China could agree to refrain from expanding its nuclear arsenal. If the United States is sincere in its declaration that homeland missile defense is not directed at China, this solution is in Washington's interest. China has maintained a small nuclear arsenal for several decades, and the only reason it would have to increase its stockpile of weapons is to compensate for its nuclear deterrence capability being undermined by improved U.S. missile defenses. So this solution is also in Beijing's interest.

The issue of a potential deployment of THAAD in South Korea could also be resolved in a way that meets the United States' declared purpose without threatening China's nuclear deterrent. China is concerned with THAAD radar because it could increase the target discrimination capability of U.S. homeland missile defense. But target discrimination is not a problem for terminal defense systems, such as THAAD, because the atmosphere simply screens out all decoys automatically. Thus, China's concern over THAAD radar is not linked to the defense of South Korea. Therefore, a solution could be that only THAAD interceptors are deployed in South Korea and that they are integrated with South Korea's current missile defense radar, the Green Pine system.

In order to encourage U.S. restraint on missile defense, China could explicitly link discussions on U.S. missile defense with the Chinese nuclear arsenal. If the United States improves the effectiveness or expands the scale of its missile defense capabilities, China would build more nuclear missiles capable of targeting the United States to compensate for the negative impact on China's nuclear deterrent. Alarming signals would include the deployment of highly capable radar on China's periphery, more interceptors capable of engaging Chinese strategic missiles, and new assets or firing doctrines that could improve target discrimination capability.

China and the United States should also work together to mitigate the risk of nuclear escalation if a conventional war were to occur. First, China's lack of confidence in the survivability of its nuclear forces is a source of escalatory risk. In order to give China confidence, the United States could publicly accept mutual vulnerability and promise not to attack Chinese nuclear weapons with conventional assets. Second, in order to discourage the United States from attacking Chinese nuclear weapons, China could modify its no-first-use policy, declaring that conventional attacks on nuclear weapons would be considered on par with nuclear strikes and might cause nuclear retaliation.

Third, China could make reasonable efforts to maintain or develop a clear distinction between its conventional and nuclear weapons. For example, China could demarcate its nuclear and conventional ballistic missiles by range, maintaining short- and medium-range missiles only for conventional purposes and intermediaterange and intercontinental missiles for nuclear needs. Finally, political leaders from both countries must understand the implications of military strategy for nuclear escalation, balance military efficiency and escalation concerns, and maintain firm control over the level of escalatory risk.

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## U.S.-China Relations in Strategic Domains

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